UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Jurgen BAER et al. Group Art Unit: 3746 Application No.: 09/874,113 / Examiner: Not Yet Assigned Filed: June 6, 2001 / TURBO GENERATOR WITH A ROTOR For: WITH DIRECT GAS COOLING

RECEIVED

Attorney's Docket No. 033275-226

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TECHNOLOGY CENTER R3700 INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. English-language abstracts of the non-English documents are also enclosed.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

It is respectfully requested that an Examiner-initialed copy of the attached PTO-1449 form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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Date: November 2, 2001